

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2401215
<b>Application Number:</b>	09857496
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4960
<b>Title of Invention:</b>	Derivative securities and system for trading same
<b>First Named Inventor/Applicant Name:</b>	Bradley J. McGill
<b>Customer Number:</b>	28977
<b>Filer:</b>	Daniel H. Golub/Crystal Corsey
<b>Filer Authorized By:</b>	Daniel H. Golub
<b>Attorney Docket Number:</b>	053775-5001
<b>Receipt Date:</b>	31-OCT-2007
<b>Filing Date:</b>	05-JUN-2001
<b>Time Stamp:</b>	13:56:50
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 930
RAM confirmation Number	10184
Deposit Account	500310
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		Amendment.pdf	850757 69e218c47a9cc17cc4d480c9e86d13b47b17b99a	yes	9
<b>Multipart Description/PDF files in .zip description</b>					
	<b>Document Description</b>		<b>Start</b>		<b>End</b>
	Amendment Submitted/Entered with Filing of CPA/RCE		1		1
	Claims		2		6
	Applicant Arguments/Remarks Made in an Amendment		7		9
<b>Warnings:</b>					
<b>Information:</b>					
2	Request for Continued Examination (RCE)	rce.pdf	892368 5ee3f6b6af208add1264d577140305ae1fa85eaf	no	3
<b>Warnings:</b>					
<b>Information:</b>					
3	Extension of Time	eot.pdf	93640 612fd1a04d9f679d3020231d3022c3732a4f9a2634	no	1
<b>Warnings:</b>					
<b>Information:</b>					
4	Fee Worksheet (PTO-06)	fee-info.pdf	8336 6191a5de4310d4ef378845997296c653b7d38f1d7	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			1845101		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.